

For Six Month Period Ending June 30, 1989
(Insert date)

Name of Registrant German Federal Railroad Registration No. 945

Business Address of Registrant 747 Third Avenue
New York, New York 10017

I—REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Not applicable
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

None

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☒ No ☐

If yes, furnish the following information:

Name

Position

Date Connection
Ended

JUERGEN ARNOLD

Director - Public Relations
and Advertising

January 31, 1989

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐ Not applicable

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

If yes, furnish the following information: Not applicable

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Deutsche Bundesbahn
6 Frankfurt a.M.
Federal Republic of Germany

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

Advertising was placed through Herman Associates Inc. Posters, brochures, letters and booklets were circulated through the General Agency in New York, travel agencies, The German National Tourist Office and registrant's sales offices in Atlanta, Boston, Dallas, and San Francisco. The publications "Kundenbrief", "Blickpunkt", "Die Bundesbahn" and "Artikel Service" were distributed by the General Agency in New York. Customer correspondence and services supplied through the General Agency in New York. Photos and slides were distributed to interested individuals and trade publications by the N.Y. General Agency. The General Agency lent films regarding the German rail system to travel agencies and individuals. Approximately 1,120 written inquiries were received and processed.

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policy of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
January 1, 1989 -	Customers of	Proceeds of ticket sales	
June 30, 1989	Deutsche Bundesbahn	less commission	
		Sales:	\$7,663,182.92
		Less Commissions:	736,203.30
			<hr/> Total \$6,926,979.62

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

<i>Date</i>	<i>To Whom</i>	<i>Purpose</i>	<i>Amount</i>
January 1, 1989 - June 30, 1989	Herman Associates, Inc.	Sales Promotion & Advertising	\$ 75,159.19
	Losses due to currency fluctuations		25,922.48
	Rent		95,928.71
	Travel expenses		616.09
	Salaries		232,003.78
	Mail/Telephone expenses		42,270.34 40,012.01
	Shipping expenses		10,401.65
	Insurance expenses		15,784.73
	Printed matter		30,972.21
	Legal fees		5,233.75
	Exhibitions and fairs		6,800.00
	Miscellaneous expenses		43,028.87
	Remittance to Deutsche Bundesbahn		4,610,000.00

Total \$ ~~7,663,162.92~~
\$ 5,234,133.81

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☒ No ☐

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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See attached Rider No. 1

(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☐ No ☒

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

Not applicable

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Not applicable

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- | | | | |
|-------------------------------------------------|---------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper articles | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input type="checkbox"/> Press releases | <input type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |

☐ Other (specify) _____ Not applicable

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- | | | |
|---------------------------------------------------------------|-------------------------------------------------------|---------------------------------------------------|
| <input type="checkbox"/> Public Officials | <input type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Other (specify) _____ Not applicable | | |

21. What language was used in this political propaganda:

- ☐ English ☐ Other (specify) _____
Not applicable

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

Not applicable

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

Not applicable

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☐ No ☐

Not applicable

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A ⁶	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Not applicable
Exhibit B ⁷	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☐

Not applicable

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Barbara Scherzer

BARBARA SCHERZER
Manager - Administration

Subscribed and sworn to before me at New York, New York

this 29th day of August, 19 89

HELENE R. SOLOMON
Notary Public, State of New York
No. 4730488
Qualified in Suffolk County
Certificate filed in New York County
Commission Expires June 30, 1992

Helene R. Solomon

(Signature of notary or other officer)

⁸The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

RIDER NO. 1

German Federal Railroad
Reg. No. 945

For Six-Month Period Ending June 30, 1989

Part IV - FINANCIAL INFORMATION

15(b) - Disbursements - Things of Value

(1) Complimentary Travel Tickets to Journalists:
(GermanRail Tourist Card)

<u>Date</u>	<u>Name</u>	<u>Description</u>	
02/24/89	Lee Garrison, Shaw Garrison	2 9-day passes	(1st Class)
03/03/89	Bobbi Gail Lipton	1 9-day pass	(1st Class)
04/07/89	Mr. D. Holmes Mrs. R. Holmes Mr. D. Hancock Mrs. V. Hancock	4 9-day passes	(1st Class)
04/28/89	Ms. Donna Rice Mr. Richard Rice	2 9-day passes	(1st Class)
05/09/89	Ms. Susan Sherwin Mr. Jack Sherwin	2 9-day passes	(1st Class)
05/22/89	Ms. Phyllis E. Funke	1 9-day pass	(1st Class)
05/23/89	Ms. Breuer Ms. Laskanaprom Mr. Oquendo	3 16-day passes	(1st Class)
06/20/89	Ms. Patricia Smith	1 16-day pass	(1st Class)

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German Federal Railroad
Reg. No. 945

For Six-Month Period Ending June 30, 1989

Part IV - FINANCIAL INFORMATION

15(b) - Disbursements - Things of Value

(2) Complimentary Point-to-Point Tickets (1st Class):

<u>Date</u>	<u>Name</u>	<u>Description</u>
01/09/89	Mr. G. Levenson	2 Frankfurt/M.-Worms roundtrip 2 Muenchen-Nuernberg roundtrip
04/18/89	Ms. H. Zukowski	1 Frankfurt/M.-Duesseldorf 1 Duesseldorf-Muenchen Hbf
04/25/89	Mr. M. Weinstein	1 Basel SSB-Frankfurt/M.-Airp.
	Ms. V. VanVynckt	1 Basel SSB-Frankfurt/M.-Airp.
	Ms. J. B. Wylie	1 Basel SSB-Frankfurt/M.-Airp.
	Mr. F. W. Wylie	1 Basel SSB-Frankfurt/M.-Airp.
	Ms. D. Kennedy	1 Basel SSB-Frankfurt/M.-Airp.
	Mr. Gary Kennedy	1 Basel SSB-Frankfurt/M.-Airp.
	Ms. L. B. Terrill	1 Basel Bad Bf-Frankfurt/M.-Airp.
	Mr. Ralph Preston	1 Basel Bad Bf-Frankfurt/M.-Airp.
	Ms. Deborah Thomas	1 Basel Bad Bf-Frankfurt/M.-Airp.
	Ms. L. Ashby	1 Frankfurt/M.-Airp.-Koeln
	Mr. Rick Sylvain	1 Frankfurt/M.-Airp.-Koeln
	Ms. J. B. Wylie	1 Frankfurt/M-Airp.-Kassel
	Ms. J. B. Wylie	1 Kassel - Koeln
	Mr. F. W. Wylie	1 Frankfurt/M.-Airp.-Kassel
	Mr. F. W. Wylie	1 Kassel - Koeln
05/17/89	Mr. W. Haussmann	1 Stuttgart-Duesseldorf roundtrip
05/18/89	Mr. J. Brunhouse	1 Muenchen-Garmisch roundtrip 1 Muenchen-Bernau/Chiemsee roundtrip 1 Muenchen-Duesseldorf

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes _____ or No X

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes X or No _____

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Barbara Scherzer
Signature

08/29/89 . 1989
Date

BARBARA SCHERZER

Please type or print name of signatory on the line above

Manager - Administration
Title

RECEIVED
REGISTRATION
AUG 30 11:50
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